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METHOD AND APPARATUS FOR PERFORMING A STABLE HASH-BASED MAPPING COMPUTATION IN CONSTANT TIME OVER A DYNAMICALLY VARYING TARGET SET OF COMPUTATIONAL RESOURCES

5   **BACKGROUND OF THE INVENTION**

1.   Field of the Invention

The present invention relates to an improved data
10   processing system and, in particular, to a method and
apparatus for enhancing data access and control. Still more
particularly, the present invention provides a method and
apparatus for resource optimization in data processing
systems via hashing.
15

2.   Description of Related Art

Effective data management requires efficient storage
and retrieval of data. A variety of techniques for
20   information storage and retrieval are well known, including
a technique known as "hashing". In a typical hashing
implementation, an inputted datum is used as a key for
retrieving information associated with the key. The
information is stored in a data structure, which is usually
25   some form of a table. Given a specific key, a hash function
computes an index into the table based on the key, and the
associated information is then stored or retrieved from the
location indicated by the computed index. In other words,
the hash function "hashes" the key, in effect mapping the
30   key value to an index value. Many keys may map to the same
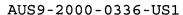computed index, which may cause resource collisions in some

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implementations, and these collisions may be resolved using a variety of well known techniques. If the hashing function is easily computable and provides an even distribution of the keys across the range of mapped values, then hashing may

5   provide an efficient storage mechanism.

All information storage and retrieval techniques strike a balance between the amount of storage resources that are used to store the information and the speed by which the information is stored and retrieved from the storage

10   resources. In the typical storage implementation noted above, hashing provides a methodology in which an increased amount of storage can be used to increase access speed. A typical hashing implementation does not utilize some of its allocated storage resource in return for a quick manner of

15   storing and retrieving information in its storage resource. While a hash function should distribute the keys across the entire range of table indices, a typical hash implementation does not ensure that all hash table entries are used in any given period of time.

20   In general, hashing can be interpreted as providing a methodology for mapping a source identifier (ID) to a target ID in order to obtain an association between information identifiable by the source ID and other resources or information identifiable by the target ID. In other words,

25   a hash function maps identifiers between ID spaces. The inputted values into a hash function can be viewed as representing entities in a source ID space while the outputted values from a hash function can be viewed as representing entities in a target ID space. A properly

30   chosen hash function can provide an efficient mechanism for

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mapping values from the source ID space to values in the target ID space.

Specifically, in a typical storage application, the target ID is an index into an entry in the hash table, and the hash table entry has previously been associated with a target resource. After mapping the key to the target ID, i.e. hash table index, the information in the entry of the hash table is used to determine a storage location for storing or retrieving information associated with the key. The location may be the hash table entry itself, or the hash table entry may have some type of pointer or other identifier that points to a storage location, object, or resource.

Viewed in this broad manner, a hash function allows information to, from, or about the source entity to be associated with information to, from, or about the target entity. Assuming that the target entity is some type of computational resource, then the source ID becomes associated with a target ID, which then performs some type of computational process on behalf of the entity represented by the source ID. The computational process is usually either a storage process or a routing process. In either case, a hash function can be viewed as assisting a type of distributional process.

Hash computations are frequently implemented for distributing computational resources. For example, it is desirable in Web-based applications to route requests from clients to servers so that, once a request is routed from a particular client to a particular server, all requests from that client will be routed to the same server. Given a unique ID for the particular client and a unique ID for the
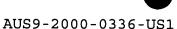
particular server, a hash computation may be employed to map incoming requests from the client to the same server for the duration of the client session. This type of process has been termed "hash routing" or "hash-based routing" and may

5   be applied to a variety of Web-based applications, such as the caching of Web content in an array of cache servers.

All hashing functions are generally required to provide an even or fair distribution of source IDs over the target ID space in order to perform the distribution of

10   computational resources. Otherwise, the distribution is clumpy and must be corrected or compensated, which slows down the distribution computation and defeats a major advantage of employing a hashing function. In order to achieve acceptable distribution of source IDs over the

15   entire target ID space, a typical hashing implementation assumes that the set of target resources will remain unchanged, and hence, the target ID space is expected to be static.

The size of the target ID space is then used as a

20   computational parameter in several aspects because of this assumption about the static nature of the target ID space. For instance, an initial hash table may be allocated at a predetermined size that matches the expected size of the target ID space, and the expected size of the target ID

25   space is also used as a parameter within a hash function. By assuming that the predetermined size of the hash table matches the size of the target ID space, a typical hash function can be assured that it fairly distributes the source IDs over the target ID space if it fairly distributes

30   the source IDs over the hash table.

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At some point in time, though, it may be determined that the capacity of the hash table should be increased or decreased to accommodate a different target ID space for some reason. If it is determined that the size of the hash

5   table should be changed, then the parameter within the hash function that determines the size of the target ID space must also be changed, thereby manifesting a change in the behavior of the hash function in mapping the source IDs over the newly defined target ID space.

10   In order to maintain the integrity of the entire process, the source IDs must be remapped to different hash table indices using the newly defined hash function, eventually resulting in the previous hash table being replaced by a new hash table. Hence, resizing the hash

15   table causes a large performance penalty to be paid when the target ID space is changed. Most implementations of hashing algorithms assume that the set of target resources will remain relatively unchanged and accept a performance penalty when the set of target resources is changed.

20   In many data processing systems, though, the amount of computational resources varies over time. Continuing the same example of client-to-server mapping, if a server fails or the overall capacity of the system changes, e.g., due to the addition of another server, the size of the target ID

25   space also changes. In order for the system to be able to distribute the client requests evenly over the new set of servers, the hash computation must be able to map the client IDs, i.e. source IDs, evenly over the newly redefined server ID space, i.e. larger or smaller number of target IDs.

30   However, one would like to avoid a scenario in which all of the client IDs are remapped to different server IDs using a
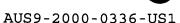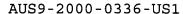
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new hash function. Otherwise, subsequent requests from a particular client would no longer be routed to the same server that was receiving those requests prior to the redefinition of the server ID space. For example, if the

5   servers perform caching operations for clients, one desires to maintain an affinity between a particular user's requests and a particular server in order to efficiently cache information for the client in the server. If the client-to-server mapping is not stable, then when a server

10   is added or removed, it would be very disruptive as most of the cached information would need to be reaccessed.

Hence, the use of hashing techniques may be impractical when the number of mapped computational resources varies over time. In some solutions, compensation mechanisms and

15   rules have been implemented. Other solutions have involved coordination across mapping points, such as shared mapping tables. These solutions can be complex, difficult to implement, and not sufficiently scalable. For example, one type of caching algorithm, the Cache Array Routing Protocol

20   (CARP) algorithm, greatly degrades its performance as the number of caching servers increases.

Therefore, it would be advantageous to provide a method and apparatus in which a hashing mechanism remains stable while the availability of computational resources varies

25   over time, e.g., the mechanism is stable with respect to the assignment of IDs to servers in a dynamically varying set of servers. It would be particularly advantageous if the hashing mechanism had wide applicability to a variety of computational problems with consistent results when executed

30   on a variety of computer platforms.

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SUMMARY OF THE INVENTION

The present invention is a method, system, apparatus,
5   or computer program product for mapping a source identifier
in a source identifier space to a target identifier in a
target identifier space using a stable hash-based
computation. An information item identifiable by a source
identifier is to be associated with some type of
10   computational resource, and the computational resource is
represented by a target object identifiable by one or more
target identifiers. The set of target objects is
dynamically variable, yet the mapping is stable over time
with respect to the amount of remapping caused by a change
15   to the set of target identifiers. After hashing the source
identifier to produce an index position of an entry in a
table, and a target identifier is retrieved from the table
entry, thereby mapping the source identifier to the target
identifier in a mapping operation whose speed is independent
20   of the number of target identifiers.

The mapping is performed via an intermediate table,
herein termed a "targetMap" table, in which each entry
contains a target identifier. Other data structures could
be substituted for the intermediate table, and other
25   information associated with a target identifier may be
stored in the intermediate data structure.

The targetMap table is managed as follows. Each entry
in the table is related to a single target identifier, yet
each target identifier may be related to more than one table
30   entry, thereby producing a relation between a table entry
and a target object. The target that is associated with a

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particular table entry is based on a "nearness" computation that depends upon the table index position of the particular table entry and a target identifier for the associated target. The nearness computation is performed between each

5 table entry and each target identifier to obtain a fair distribution of relationships between table entries and targets. Targets can be added or removed with minimal impact on the table.

Target objects may have one or more associated target

10 identifiers, the number of which is proportional to a measure of computational capacity of the target resource. Hence, the present invention also incorporates a weighting mechanism into the mapping operation such that source identifiers are mapped to target objects in proportion to

15 the predetermined weight or capacity of the target object.

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BRIEF DESCRIPTION OF THE DRAWINGS

The novel features believed characteristic of the
5   invention are set forth in the appended claims. The
invention itself, further objectives, and advantages
thereof, will be best understood by reference to the
following detailed description when read in conjunction with
the accompanying drawings, wherein:

10   **Figure 1A** depicts a typical distributed data processing
system in which the present invention may be implemented;

Figure 1B depicts a typical computer architecture that
may be used within a data processing system in which the
present invention may be implemented;

15   **Figures 2A-2D** depict the CARP algorithm for caching
Internet-based content;

Figures 3A-3D depict the manner in which the present
invention manages an intermediate table, termed a
"targetMap", that is eventually used to map keys from a
20   source ID space to a target ID space;

Figures 3E-3F depict the manner in which the present
invention employs a targetMap table to map keys from a
source ID space to a target ID space;

Figures 4A-4E depict the processes that are employed to
25   manage and use the targetMap data structure for mapping keys
to targets in accordance with the stable hash computation of
the present invention;

Figures 5A-5C depict the manner in which the targetMap
table is managed with consideration to weighting the
30   capacities of the targets; and

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Figure 6 depicts an example of a nearness function that may be used in accordance with an embodiment of the present invention.

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DETAILED DESCRIPTION OF THE INVENTION

5   With reference now to the figures, **Figure 1A** depicts a

typical network of data processing systems, each of which may

implement the present invention. Distributed data processing

system **100** contains network **101**, which is the medium used to

provide communications links between various devices and

10   computers connected together within distributed data

processing system **100**. Network **101** may include permanent

connections, such as wire or fiber optic cables, or temporary

connections made through telephone or wireless

communications. In the depicted example, server **102** and

15   server **103** are connected to network **101** along with storage

unit **104**. In addition, clients **105-107** also are connected to

network **101**. Clients **105-107** and servers **102-103** may be

represented by a variety of computing devices, such as

mainframes, personal computers, personal digital assistants

20   (PDAs), etc. Distributed data processing system **100** may

include additional servers, clients, routers and other

devices not shown. In the depicted example, distributed data

processing system **100** may include the Internet with network

101 representing a worldwide collection of networks and

25   gateways that use the TCP/IP suite of protocols to

communicate with one another. Of course, distributed data

processing system **100** may also include a number of different

types of networks, such as, for example, an intranet, a local

area network (LAN), or a wide area network (WAN).

30   **Figure 1A** is intended as an example of a heterogeneous

computing environment and not as an architectural limitation

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for the present invention. The present invention could be implemented on a variety of hardware platforms, such as server **102** or client **107** shown in **Figure 1A**.

With reference now to **Figure 1B**, a diagram depicts a

5   typical computer architecture of a data processing system, such as those shown in **Figure 1A**, in which the present invention may be implemented. Data processing system **110** contains one or more central processing units (CPUs) **112** connected to internal system bus **113**, which interconnects

10   random access memory (RAM) **114**, read-only memory **116**, and input/output adapter **118**, which supports various I/O devices, such as printer **120**, disk units **122**, or other devices not shown, such as a sound system, etc. System bus **113** also connects communication adapter **124** that provides

15   access to communication link **126**. User interface adapter **128** connects various user devices, such as keyboard **130** and mouse **132**, or other devices not shown, such as a touch screen, stylus, etc. Display adapter **134** connects system bus **113** to display device **136**.

20   Those of ordinary skill in the art will appreciate that the hardware in **Figure 1B** may vary depending on the system implementation. For example, the system may have one or more processors, and other peripheral devices may be used in addition to or in place of the hardware depicted in **Figure**

25   **1B**. The depicted examples are not meant to imply architectural limitations with respect to the present invention. In addition to being able to be implemented on a variety of hardware platforms, the present invention may be implemented in a variety of software environments. A

30   typical operating system may be used to control program execution within the data processing system.

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The present invention may be implemented on a variety of hardware and software platforms, as described above. More specifically, though, the present invention is directed to providing a hash-based computation that can be used to

5   map values in a source ID space to values in a target ID space comprising a dynamically varying target set. The present invention may be used in a variety of computational applications.

Before describing the present invention in more detail,

10   though, a prior art algorithm, Cache Array Routing Protocol (CARP), is described in order to provide a background for evaluating the operational efficiencies and other advantages of the present invention.

With reference now to **Figures 2A-2D**, block diagrams

15   depict the CARP algorithm for caching Internet-based content. CARP uses hash-based routing to provide a deterministic request resolution path through an array of proxies. For any given Uniform Resource Locator (URL) request, a requesting device, such as a browser, can

20   determine the location of the requested information within the cache array. In this manner, a set of proxy servers can serve as a single logical cache.

Figure 2A shows target set **202** containing targets **204-208**, which could be a set of proxy servers. **Figure 2B**

25   shows hash function **210** accepting the target identifiers for each of the targets in target set **202** as input, and hash function **210** generates a hash value for each of the targets.

Figure 2C shows that hash values **214-218** are associatively stored with each of the targets **204-208**. A hash value would

30   be generated for each of the targets when the system is initialized or when a new target is added to the target set.

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Figure 2D illustrates a series of steps in which a key, such as a URL, is mapped to a particular proxy server in the target set through a type of hash routing provided by CARP. Key 220 is hashed by hash function 222 into hash value 224,

5   which is then mathematically combined through hash function 226 with each of the hash values 214-218. The result from each of these hash operations is a value which is regarded as a score that indicates the level of association between the key and a particular target. Scores 228-232 are then

10   compared to each other to find the lowest or highest score, and the target which produced the lowest or highest score is regarded as the target with which the key should be associated.

In the example, target 208 produced the best score, so

15   assuming that key 220 is a URL, then the URL would be sent to the proxy server represented by target 208. At some later point in time, if target 208 is removed from the target set, e.g., if the proxy server represented by target 208 fails, then key 220 would be evaluated against the

20   remaining targets, and the next highest score would associate a different target with key 220. At any given time, the URLs and the server identifiers should be stable, so the CARP methodology produces a deterministic association between the keys and a set of target servers. When a server

25   is added or removed from the target set, a minimal amount of work is required to adjust the necessary data structures.

The CARP methodology, however, has a major disadvantage because of its poor ability to scale with additional targets. As shown in Figure 2D, every time that a URL is to

30   be sent to a server, each URL is evaluated against each server in the target set, which requires an evaluation of

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hash function **226** for each server in combination with the inputted key. As the number of servers grows, the number of mathematical computations performed for each key, i.e. evaluations within hash function **226**, grows linearly. The
5   performance of the CARP algorithm degrades as the number of servers increases.

In contrast, the present invention can map a key to a particular target in a constant time that is independent of the number of targets in the target set. Other advantages
10   of the present invention will be explained in more detail further below.

It should be noted that the CARP algorithm was designed for implementing an array of cache servers, yet it may have utility in other applications. The present invention,
15   however, is designed for use in a wide variety of applications that may require a stable hash computation for a dynamically varying target set. Hence, the following description of the present invention is not restricted to a particular application, and the terminology is purposefully
20   chosen to illustrate the broad applicability of the invention.

With reference now to **Figures 3A-3D**, a set of diagrams depict the manner in which the present invention manages an intermediate table or array, termed a "targetMap table" or
25   simply "targetMap", that is eventually used to map keys from a source ID space to a target ID space. **Figures 3A-3D** show the manner in which the targetMap table is managed, while the manner in which the targetMap table is used to map a key to a target is deferred to **Figures 3E-3F**.

30   **Figure 3A** and **Figure 3B** depict the manner in which the targetMap table is initially constructed. Target set **302**

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has initial targets **304-310**, each of which has a target identifier, such as a server name, server number, etc. TargetMap table **312** has a number of entries that may be accessed by an index into the table. The number of entries

5 in targetMap table **312** and the number of targets in target set **302** may vary from several to many thousand depending upon the application. The targetMap table is of fixed but configurable length and is generally specified to have a length that is larger than the number of targets by a small

10 factor, e.g., the number of entries in the targetMap may be four times the number of targets in the target set.

Each entry of the targetMap will be associated or related with a single target from the target set. For example, an entry could contain: a target identifier;

15 information associated with a target; a pointer to information concerning a target; or some other type of data for associating or relating a particular target with a particular entry. The relationship between the targetMap entries and the targets is not necessarily a one-to-one

20 relationship; each targetMap entry is associated or related to a single target, while a given target may be associated or related to more than one targetMap entry.

The target to be associated with an entry in the targetMap table is based on a "nearness" calculation. For

25 each index in the targetMap table, the index value is computed against each target identifier in the target set using nearness function **314**. The nearness function receives as inputs: (1) a unique identifier representing an entry within the targetMap, such as an array index or table index;

30 and (2) a target identifier. The results of the nearness function computation produces a single, best value for a
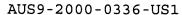
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particular combination of the target identifier and the
index position.

The target identifier which produces the best value for
that index position is said to be "nearest" to the entry in
5   the targetMap, and the target identifier is then associated
with the entry of the targetMap table at that index
position. For example, the target identifier could be
stored in that particular entry, or some type of data
associated with the particular target could be stored in
10   that particular entry.

Given a goal of using the stable hash computation
methodology in a distributed computing environment, the
nearness function should have the property that it can be
computed on different types of computer platforms yet can
15   produce identical results. A variety of nearness functions
could be employed as long as the nearness function produces
a fair, even distribution of targetMap indices across the
range of targets, i.e. across the target ID space. In other
words, a good nearness function has properties similar to a
20   good hash function. An example of a particular nearness
function is described in more detail further below with
respect to **Figure 6**.

After this process is performed for each entry in the
targetMap table with the initial set of targets, the entries
25   in the targetMap have an initial set of associations with
the targets in the target set, as shown in **Figure 3B**.

As stated previously, the present invention provides a
mapping algorithm for a dynamically varying target set.
Hence, at some later point in time, targets may be added or
30   removed from the target set. **Figure 3C** depicts the results
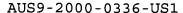of removing a target from the target set. Target **306** has

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been removed from target set **302**, and the entries within
targetMap **312** that were associated with target **306** have been
re-associated with the next nearest targets.

After the initial setup has been completed, a target is
5   associated with a subset of entries in the targetMap. When
a target is removed from the target set, each entry in the
targetMap table in which the removed target had been
specified must be reevaluated to determine the nearest
target in the set of remaining targets. Each entry in the
10   subset of entries is then changed to specify the nearest of
the remaining targets.

Figure 3D depicts the results of adding a target to the
target set. Target **316** has been added to target set **302**,
and all entries within targetMap **312** have been reevaluated
15   to find the nearest targets, thereby changing some entries.

After the initial setup has been completed, each entry
in the targetMap table is associated with a particular
target. When a target is added to the target set, each
targetMap entry must be reevaluated to determine the nearest
20   target in the newly modified set of targets. Each entry in
the subset of entries is then changed, as necessary, to
specify its nearest target in the modified target set.

As noted above, after the initial setup has been
completed, a target is associated with a subset of entries
25   in the targetMap. When adding a new target in the target
set, a subset of entries in the targetMap will become
associated with the new target. Hence, some of the entries
in the targetMap are changed so that they are associated
with the new target because those entries are nearest as
30   indicated by the nearness function. However, all of the
other entries in the targetMap remain unchanged.

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With reference now to **Figures 3E-3F**, a set of diagrams depict the manner in which the present invention employs a targetMap table to map keys from a source ID space to a target ID space. **Figure 3E** shows targetMap **302** as

5   previously described with respect to **Figure 3B**.

Key **320**, which represents some type of identifier from a source object, such as a URL, is input into the stable hash computation process. Key **320** is input into hash function **322**, which produces hash code **324**. The hash code

10   is used as an index into an entry in targetMap **302**, and the targetMap entry specifies its associated target, which is target **310** in this example.

Alternatively, hash function **322** may be replaced by some other type of computation, function, or lookup

15   operation that maps, converts, or transforms key **320** to a location within the targetMap data structure as long the replacement functionality is similar to a hash function. The intention is that the input key is quickly and efficiently mapped, converted, or transformed to a data

20   structure location with a fair and determinative distribution of input values across the data structure locations in a manner similar to a hash function.

In contrast to the CARP algorithm, **Figure 3F** emphasizes that an association can be made between a key representing

25   an identifier in the source ID space to a target object representing a target identifier in the target ID space with a single hash operation and a single retrieval or lookup operation.

With reference now to **Figures 4A-4E**, a set of

30   flowcharts depicts the processes that are employed to manage and use the targetMap data structure for mapping keys to

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targets in accordance with the stable hash computation of the present invention.

Figure 4A depicts a process for initializing the targetMap data structure. The process begins by obtaining

5   initial target descriptors for the initial set of targets (step **402**). There may be one or more targets in the initial set of targets, each of which is described in an object termed a "target descriptor". A target descriptor may contain any information that is associated with a given

10   target, such as one or more target identifiers, its target weight, and other information. A target identifier may be a number, an ASCII string, a name, or some other form of identifier by which the target is uniquely identifiable. The component that is performing the stable hash computation

15   may assign an internal, private target identifier, and the target descriptor may also contain an externally assigned target identifier. One of ordinary skill in the art would recognize that the format of the target identifiers and the manner in which the target identifiers are used may vary,

20   and it should be understood that the identifiers merely represent a logical target unit or a physical target unit within a target ID space.

The process continues by obtaining a targetMap table of appropriate size (step **404**). Alternatively, the targetMap

25   may be represented by a data structure that has the following properties: (1) each entry in the data structure has a unique identifier such that the entry identifier provides an input into the nearness function; and (2) the data structure allows some type of simple lookup operation

30   in conjunction with the hash code that is computed from the inputted key when the targetMap is to be used for a mapping

operation. If the targetMap is configured as a table, the index into the table provides a unique identifier for a targetMap entry and a mechanism for quickly performing a retrieval from a targetMap entry. If the targetMap is

5   configured using a more complex data structure of targetMap entries, then a pointer to the entry might be used as the identifier of the entry and as the retrieval mechanism. One of ordinary skill in the art will recognize that the targetMap may be configured in a variety of different data

10   structures as long as the implemented data structure provides the necessary properties.

The process then continues by associating each entry in the targetMap with an appropriate target as defined by the nearness function (step **405**). The process of initializing

15   the targetMap is then complete. Step **405** is described in more detail with respect to **Figure 4B**.

The flowchart shown in **Figure 4B** can be used when initializing a targetMap upon startup or when updating a targetMap after a target has been added to the target set

20   because, in both cases, all of the entries in the targetMap must be evaluated against all of the targets in the target set.

A variable that holds the current index position is initialized to the first index into the targetMap table

25   (step **406**). The remainder of the process shown in **Figure 4B** is then a loop through the indices of the targetMap table. Hence, the first execution of step **406** sets the current index position to the first index, while subsequent executions of step **406** set the current index position to the

30   next available, unprocessed index position.

For the current entry in the targetMap, i.e. for the current index position, the process finds the nearest target by computing a nearness function with the current index position and each target identifier from the targets in the

5   target set (step **408**). This step is described in more detail in **Figure 4C**.

The process then stores the nearest target, as computed in step **408**, into the current targetMap entry as specified by the current index position (step **410**). A determination

10   is made as to whether there are other entries in the targetMap which have not yet been processed (step **412**). If so, then the process loops back to step **406** to process another targetMap entry. Otherwise, the process is completed.

15   Referring to **Figure 4C**, the depicted flowchart is an expansion of step **408** shown in **Figure 4B** in which the nearness function is evaluated for all of the entries in the targetMap. **Figure 4C** describes the process for computing a nearest target for a single targetMap entry. Hence, the

20   process shown in **Figure 4B** can be viewed as an outer loop through all of the targetMap entries, and the process shown in **Figure 4C** can be viewed as the inner loop of the process required for a single targetMap entry.

The process begins by getting the current index

25   position of the current entry in the targetMap and initializing the current entry (step **420**). The current nearness value of the current entry is also initialized to zero as a basis for subsequent comparisons (step **422**).

In order to prepare for the loop through each of the

30   targets in the target set, a variable that holds the current target identifier is initialized to the target identifier

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from the target descriptor for the first target in the
target set (step **424**). The remainder of the process shown
in **Figure 4C** is then a loop through the target descriptors
in the target set. Hence, the first execution of step **424**
5   sets the current target identifier to the first target
identifier, while subsequent executions of step **424** set the
current target identifier to the next available, unprocessed
target identifier.

The process continues by computing a nearness value
10   using the index position of the current targetMap entry and
the target identifier of the current target (step **426**). A
comparison is then made between the computed nearness value
and the current nearness value for the current entry (step
428). If the computed value is not greater than the
15   currently stored nearness value, then the process merely
continues. If the computed value is greater than the
currently stored nearness value, then the computed value is
stored as the current nearness value (step **430**), replacing
the old nearness value, which is discarded.
20   The process then continues by determining whether there
is another unprocessed target descriptor (step **432**). In
other words, a determination is made as to whether the
current index position has not been evaluated against all of
the targets in the target set. If so, then the process
25   branches back to step **424** to continue processing. If the
entry has been evaluated against all of the targets to
determine the nearest target, as defined by the nearness
function, then the target descriptor that is associated with
the nearest target is stored in the current entry of the
30   targetMap (step **434**). The process is then complete for the

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current targetMap entry, and the process may branch back to
the outer loop for processing another targetMap entry.

Figure 4D depicts a process for updating the targetMap
data structure when a target is added to the target set.

5   The process begins by adding a new target descriptor for the
new target to the target set (step **440**). Each entry in the
targetMap is then associated with an appropriate target as
defined by the nearness function (step **442**), and the process
is then complete. The number of entries in the targetMap

10   that change their associated targets will depend on the
content of all of the target identifiers and the properties
of the nearness function. It should be noted that for a
large targetMap and a large target set, the addition of a
new target to the target set will result in changes only to

15   a small number of entries in the targetMap. The unchanged
entries in the targetMap remain stable during and after the
addition of the new target to the target set.

The flowchart shown in **Figure 4D** is very similar to the
initialization flowchart shown in **Figure 4A** because, in both

20   cases, all of the entries in the targetMap must be evaluated
against all of the targets in the target set. Step **442** in
Figure 4D is similar to step **405** in **Figure 4A**, which was
previously described with respect to **Figure 4B**.

Figure 4E depicts a process for updating the targetMap

25   data structure when a target is removed from the target set.
The flowcharts shown in **Figure 4E** and **Figure 4B** are very
similar. In **Figure 4B**, all of the entries in the targetMap
must be evaluated against all of the targets in the target
set. In **Figure 4E**, though, only a subset of the entries in

30   the targetMap are evaluated against all of the targets in
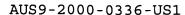the target set. When a target is removed, only the entries

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in the targetMap at which the removed target had been specified must be reevaluated.

The process begins by deleting the target descriptor for the removed target from the target set (step **450**). A variable that holds the current index position is set to the index of the next entry in the targetMap that referred to the removed target (step **452**). The remainder of the process shown in **Figure 4E** is then a loop through the subset of entries in the targetMap table that referred to the removed target. Hence, the first execution of step **452** sets the current index position to the first entry that referred to the removed target, while subsequent executions of step **452** set the current index position to the next unprocessed entry which referred to the removed target.

For the current entry in the targetMap, i.e. for the current index position, the process finds the nearest target by computing a nearness function with the current index position and each target identifier from the targets in the target set (step **454**). This step was described with respect to **Figure 4C**.

The process then stores the nearest target, as computed in step **454**, into the current targetMap entry as specified by the current index position (step **456**). A determination is made as to whether there are other entries in the subset of entries which have not yet been processed (step **458**). If so, then the process loops back to step **452** to process another targetMap entry. Otherwise, the process is completed.

Using the methodology provided by the present invention, only a small number of entries in the targetMap table are changed when targets are added or removed,

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assuming that the nearness function has appropriate
properties. As one of the desired properties, the nearness
function should provide an even distribution of associations
between entries in the targetMap table and targets in the
5   target set. Assuming that the nearness function has this
particular property, the nearness function can be employed
to distribute targets over the targetMap table in a manner
in which the associations are weighted by the targets'
respective capacities.

10   In a given application of the present invention, the
targets may or may not be identical in their processing
capabilities. For example, the targets may represent
servers or routers, and the target set may represent a set
or subset of servers or routers within an enterprise.
15   Although many servers or routers within a large enterprise
may be identical, it is unreasonable to assume that all of
the servers or routers within a given set or subset will be
identical. For example, if the present invention is used in
an application in which the targets are caching servers
20   within a cache array, some servers may have more storage
capacity than other servers, and those servers should
received more cached items. If the present invention is
used in an application in which the targets are routers,
some of the routers may have faster response times, and
25   those routers should receive more routing requests. The
present invention incorporates a target's computational
capability or capacity into the manner in which keys are
distributed from a source ID space to a target ID space.

The present invention provides a weighting capability
30   in the manner in which the targetMap is managed. When a key
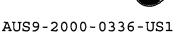is received for processing, the manner in which the key is

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mapped to a target is identical to that described with respect to **Figure 3E**; no additional processing is necessary. The capacities of the targets are weighted by associating a proportional number of targetMap entries to the each target

5 according to its weighting. This is described with respect to **Figures 5A-5C** below.

With reference now to **Figures 5A-5C**, a set of diagrams depict the manner in which the targetMap table is managed with deference to weighting the capacities of the targets.

10 **Figure 5A** and **Figure 5B** are similar to **Figure 3A** and **Figure 3B**. Target set **502** has initial targets **504-510**, and targetMap table **512** has a number of entries that may be accessed by an index into the table. In this example, the number of entries in targetMap table **512** and the number of

15 targets in target set **502** are fixed, but as previously noted, they may vary from several to many thousand depending upon the application.

Each entry of the targetMap will specify a single target from the target set, i.e. an entry will contain a

20 pointer to a target or will contain some other type of data for associating a particular target with a particular entry. However, the relationship between the targetMap entries and the targets is not necessarily a one-to-one relationship. The target to be specified at each entry in the targetMap

25 table is based on a nearness calculation.

In **Figure 3A**, each of the targets has a single target identifier, such as a server name, server number, etc. In contrast, each target in **Figure 5A** has one or more target identifiers, and the number of target identifiers for a

30 particular target is correlated with the target's capacity.

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For each index in the targetMap table, the index value is computed against each target identifier of each target in the target set using nearness function **514**. The results of the computation with each target identifier then results in

5 a single, best value for a particular combination of a target identifier and the index position. The target whose identifier produced the best value for that index position is then associated with the entry of the targetMap table at that index position.

10 In other words, the process of determining a nearest target for a particular targetMap index is the same as previously described except that each target can have multiple identifiers. In essence, the previously described process would be modified to loop through each identifier of

15 a single target's set of identifiers.

After this process is performed for each entry in the targetMap table with the initial set of targets, the entries in the targetMap have an initial set of associations with the targets in the target set, as shown in **Figure 5B**. In

20 this example, target **504** had four times the weighting of target **508** or target **510**, and target **506** had two times the weighting of target **508** or target **510**. As a result, because the nearness function distributes the targetMap entries equally across the target ID space, target **504** has four

25 times the resulting entry associations as target **508** or **510**, and target **506** has twice the resulting entry associations as target **508** or target **510**.

Figure 5C depicts an example of a target with multiple identifiers. As previously described, each target in the

30 target set is represented within the data processing application with a unique data element, such as an object

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instance, table entry, etc. In **Figure 5C**, a target is represented by a target descriptor, which was previously described with respect to **Figure 4A**.

In this example, target descriptor **520** is created
5   within an application that is implementing the present invention. Target descriptor **520** represents a target external to the application that has an external identifying string "Target Z", which is used by other applications as the name of the target device or target resource.
10   Alternatively, a target descriptor may store external identifiers in other data formats.

Target descriptor **520** also contains weight variable **522** that determines the capacity of "Target Z", which is then used to generate a correlated number of internal target
15   identifiers **524-532** that are stored within target descriptor **520**. It should be noted that the value of the weight and the number of target identifiers for a given target are mathematically correlated but not necessarily equal, as is shown in **Figure 5C**. In this example, target descriptor **520**
20   contains five target identifiers **524-532** that are used as inputs, at the appropriate time, to nearness function **534**.

Each target identifier in the set of target identifiers **524-532** will be used in the evaluations of targetMap indices to determine the nearest target for a particular targetMap
25   entry, as previously described with respect to **Figures 4A-4E**, although there may be multiple target identifiers per target rather than a single target identifier per target.

With reference now to **Figure 6**, a block diagram depicts an example of a nearness function that may be used in
30   accordance with an embodiment of the present invention. The management of the targetMap relies upon a nearness function

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that determines which target identifier within a set of
target identifiers is the "nearest" for a given index
position into the targetMap. Although **Figure 6** depicts one
example for the nearness function, one of ordinary skill in
5   the art would appreciate that many different nearness
functions could be implemented within an implementation of
the present invention, and the present invention is not
intended to be limited to the provided example.

Nearness function **600** accepts target identifiers
10   **601-605** and targetMap index **608**, which are inputted into
hash function **610** and hash function **612**, respectively. Hash
function **610** produces a set of hash values **614**, while hash
function **612** produces hash value **616**. Each hash value **614**
is generated from a single target identifier as input to
15   hash function **610**. Each hash value **614** is then separately
hashed together with hash value **616** by hash function **618**,
which produces a single nearness value. After executing
hash function **618** for each hash value **614**, a set of nearness
values **620** is produced. Nearness values **620** are then
20   compared to each other by comparator **622** to determine
nearness value **624**, which is a single nearness value
generated from using one of the target identifiers **601-605**
as an input.

Comparator **622** may employ one of a variety of
25   comparison functions that are appropriate to the hash values
to be compared. For example, if the resulting hash values
are best interpreted as integers, then comparator **622** may
determine that the best nearness value is the greatest
integer nearness value, thereby producing the "nearest"
30   value.

Each of the computed nearness values is computed from the targetMap index and a single target identifier. Since each target identifier is associated with a single target, nearness value **624** determines which target is "nearest" to

5   the targetMap entry identified by the targetMap index.

As shown in **Figure 6**, nearness function **600** accepts multiple target identifiers and produces a single nearness value after comparing the computed nearness values for the multiple target identifiers. Alternatively, an application

10 may repeatedly invoke a nearness function with different target identifiers upon each invocation as inputs; the nearness function may accept a single target identifier and a targetMap index and then produce a single nearness value. In that case, the application could store a computed

15 nearness value after each invocation and then perform its own comparison to determine the nearest value among the computed nearness values. As another alternative, the application could perform a running comparison among the computed nearness values, as was shown within **Figure 4C**.

20 It should be noted that hash functions **610** and **612** may or may not be mathematically or computationally similar, and hash function **618** may or may not be mathematically or computationally similar to hash functions **610** and **612**. It should also be noted that the number of target identifiers

25 may vary, and the input associated with the targetMap may be some other type of unique identifier other than a table index that depends on the form of the targetMap data structure, as previously explained.

Given a goal of using the present invention in a

30 distributed computing environment, the stable hash computation methodology should produce identical results on

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different types of computer platforms. While certain
computational functions are easy to reproduce on a variety
of computer platforms, other functions, such as hash
functions, are sometimes not straightforwardly reproduced.

5   Generally, an application developer has a choice of
multiple sources for hash functions: a hash function
supplied by a programming language environment; a hash
function supplied by an operating system; a hash function
supplied by a mathematical library of routines; or a hash
10   function written by the developer. Some of these hash
functions employ idiosyncrasies of the computer hardware on
which they execute. Hence, hash functions can vary greatly
in their implementations across computer platforms.

The present invention relies upon a hash function to
15   map an inputted key to the targetMap. In addition, the
nearness function has requirements similar to a hash
function in that the nearness function should produce a
fair, even distribution of targetMap indices across the
range of targets, i.e. across the target ID space. In fact,
20   as shown in **Figure 6**, an implementation of a nearness
function can employ one or more hash functions. Therefore,
in order to ensure that the present invention produces
identical results on different types of computer platforms,
significant effort should be given to obtaining a hash
25   function and a nearness function that produces identical
results on different computer platforms.

Java™ is a standard that supports a "write once, run
anywhere" methodology. Hence, one manner of obtaining
consistent results is to implement the present invention in
30   a Java environment. Various routines for the stable hash
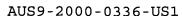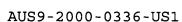computation can be written in the Java programming language

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and then executed within a Java runtime environment. Java
source code may rely upon the fact that the Java
specification provides a standard process for handling
arithmetic operations such that the implemented functions

5   will execute with identical results on different computer
platforms while maintaining the exact same mapping. This
feature allows the mapping methodology of the present
invention to be scaled to a capacity beyond that of a single
computer.

10   The advantages of the present invention should be
apparent in view of the detailed description of the
invention that is provided above. The present invention
maps keys from a source ID space to targets in a dynamically
varying target ID space. The mapping distributes the keys

15   across the targets in the target set in a nearly uniform
manner that is independent of the value characteristics of
the source ID space, the target ID space, or the size of the
target ID space.

        The mapping may be employed in a variety of

20   computational problems and contexts. For example, the
present invention may be employed in a storage application
in which requests for content, identifiable by Uniform
Resource Identifiers (URIs) or URLs, are routed to a
particular cache server based on the outcome of the stable

25   hash computation. As another example, the present invention
may be used within a network router; a destination address,
such as an Internet Protocol (IP) address, from a network
packet may be used as the input key, and the packet is
routed to a next-hop destination based on the outcome of the

30   stable hash computation.

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The mapping is stable over the set of targets in the
following way. If a target is removed from the target set,
then only the mappings of keys to that target are changed,
and all other keys continue to be mapped as they were before

5   the target was removed. The keys that were mapped to the
removed target are uniformly distributed over the remaining
targets so that an overall uniform distribution is
maintained. If a target is added to the target set, then
only a proportional number of keys will be mapped to the new

10   target, and all other keys will continue to be mapped as
they were before the addition. The keys that will be mapped
to the new target will be uniformly selected from all the
previous targets so that an overall uniform distribution is
maintained. The mapping of keys to targets is independent

15   of the sequence in which the targets were added to the
target set. In other words, the mapping of keys to a
particular target set will be the same independent of the
order in which the targets were added to the target set.

The mapping can be implemented such that it is

20   independent of the computer platform on which the
computation is executed. Therefore, this computation could
be executed on a variety of different computers running
different operating systems, and it would produce the same
results. This implies that the mapping can be used by a

25   number of computers at the same time to produce consistent
results without sharing information other than updates to
the target set across the computers. In addition, the
mapping functionality can be scaled to a capacity beyond
that of a single computer.

30   The mapping of a key to a particular target takes a
very small constant time that is independent of the size of

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the source ID space or the number of targets in the target
set. The computation requires memory resources that are
linearly proportional to the maximum number of targets that
can be in the target set and constant with respect to the
5   size of the source ID space or the number of keys that have
been mapped or will be mapped. Hence, the mapping
functionality scales well because its computational cost is
independent of the number of targets in the target set.

In addition, the methodology provided by the present
10   invention can take into account the "capacity" of each
target and map keys to each target in proportion to the
"capacity" of each target.

Because of its generality, the present invention may be
used in a wide variety of applications that may require a
15   stable hash computation for a dynamically varying target
set.

It is important to note that while the present
invention has been described in the context of a fully
functioning data processing system, those of ordinary skill
20   in the art will appreciate that the processes of the present
invention are capable of being distributed in the form of
instructions in a computer readable medium and a variety of
other forms, regardless of the particular type of signal
bearing media actually used to carry out the distribution.
25   Examples of computer readable media include media such as
EPROM, ROM, tape, paper, floppy disc, hard disk drive, RAM,
and CD-ROMs and transmission-type media, such as digital and
analog communications links.

The description of the present invention has been
30   presented for purposes of illustration but is not intended
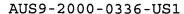to be exhaustive or limited to the disclosed embodiments.

Many modifications and variations will be apparent to those of ordinary skill in the art. The embodiments were chosen to explain the principles of the invention and its practical applications and to enable others of ordinary skill in the

5   art to understand the invention in order to implement various embodiments with various modifications as might be suited to other contemplated uses.